



Uniwersytet  
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# Towards a Contemporary Ontology

## The New Dual Paradigm in Natural Sciences: Part I

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Module 5: The (Co)Algebraic Interpretation of QFT

Course WI-FI-BASTI1

2014/15

# Introduction

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Module 5: “The (Co)Algebraic Interpretation of QFT as q-deformed Hopf Algebra / Coalgebra”

# Course modules

Modules	Topic	Suggested Readings
<b>SECTION ONE</b>		
0.	<i>Introduction and Course Overview</i>	
1.	The Birth of Modern Science	Refs.: 1, chs. 0, 1, 2.
2.	The Question of Truth in Modern Science	Refs.: 1, chs. 3, 4.
<b>SECTION TWO</b>		
3.	The Information Theoretic Interpretation of QM	Refs.: 4-10.
4.	QFT interpretation as ‘Second Quantization’ and the Physics of the Condensed Matter	Refs.: 11, pp. vi-xii, 1-35, 137-178; 12; 13.
<b>SECTION THREE</b>		
5.	The (Co)Algebraic Interpretation of QFT as q-deformed Hopf Algebra / Coalgebra	Refs.: 11, pp. 131-185; 14.
6.	The DDF Principle of QFT, its Cosmological Relevance and Its Ontological Interpretation	Refs.: 14-19; 1, ch. 5.
<b>SECTION FOUR</b>		
7.	Universal Coalgebra and the Interpretation of QFT Systems as STS	Refs.: 16; 20
8.	<i>Conclusions</i>	

# Bibliography

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Bibliography of the Module 5

# Bibliography

- **Main References:**

- G. BASTI, *Philosophy of Nature and of Science, vol. 1: The foundations*, transl. by Philip Larrey, Rome 2012 (for student use only), ch. 2 [[attached](#)]
- G. BASTI, *QFT: An Evolutionary Interpretation Of Nature From Cosmology To Neuroscience* [[Lecture Notes:attached](#)].

- **Other References:**

1. M. BLASONE, P. JIZBA, G. VITIELLO, «Preface», in: *Quantum field theory and its macroscopic manifestations. Boson condensations, ordered patterns and topological defects* , Imperial College Press , London, 2011, pp. vii-xii.
2. G. VITIELLO, «Links. Relating different physical systems through the common QFT algebraic structure», *Lecture Notes in Physics*, 718 (2007), 165-205 [[attached](#)].

## Bibliography II

3. Y. VENEMA, “Algebras and coalgebras”, *Handbook of modal logic, Studies in Logic and Practical Reasoning, volume 3*, Patrick Blackburn (Editor), Johan F.A.K. van Benthem (Editor), Frank Wolter (Editor), Elsevier, Amsterdam, pp.331-426 (cap. VI), 2007 [[attached](#)]
4. J. RUTTEN, “Universal coalgebra: a theory of systems”, *Theoretical computer science*, 249,1(2000), pp. 3-80 [[attached](#)].
5. D. SANGIORGI, «On the origins of bisimulation and coinduction», in: *ACM Transactions on Programming Languages and Systems*, 31(4), 2009, pp. 111-151 [[attached](#)].
6. B. JACOBS & J. RUTTEN, “An introduction to (co)algebra and (co)induction” in: *Advanced topics in bisimulation and coinduction*, D. SANGIORGI & J. RUTTEN (EDS.), Cambridge UP, Cambridge UK, 2012, pp. 38-99.
7. G. BASTI, *Some principles for a QFT optical computing* (draft) [[attached](#)].

# Module 5

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The (Co)Algebraic Interpretation of QFT as  $q$ -deformed Hopf Algebra / Coalgebra

# Algebra/Coalgebra Duality in Category Theory I

- Recently, in the last thirty years, but overall since 2000, year of publication of the fundamental work of J. Rutten, *Universal Coalgebra. A theory of systems* (attached), a convergent research on the theory and applications of **coalgebra** (effectively, **functor-coalgebra, or F-Coalgebra**) have been developed from three apparently very distant fields.
  - The three fields are: 1) **Theoretical Computer Science (TCS)**; 2) **Theoretical Physics (QFT)**; 3) **Formal Philosophy (FP)**.
  - To understand the notion of **doubling of the degree of freedom (DDF) in QFT** let us start from the notion of “duality” in **category theory (CT)**.
  - In category theory, an abstract branch of mathematics, an **equivalence of categories** is a relation between two categories that establishes that these categories are "essentially the same". There are numerous examples of categorical equivalences from many areas of mathematics.
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# Algebra/Coalgebra Duality in Category Theory II

- Establishing an equivalence involves demonstrating strong similarities between the mathematical structures concerned. In some cases, these structures may appear to be unrelated at a superficial or intuitive level, making the notion fairly powerful: it creates the opportunity to "translate" theorems between different kinds of mathematical structures, knowing that the essential meaning of those theorems is preserved under the translation.
- An equivalence of categories consists of a **functor** (mapping conserving the structure) between the involved categories, which is required to have an **"inverse" functor**.
- However, in contrast to the situation common for **isomorphisms** (biunivocal correspondence) in an algebraic setting, the composition of the functor and its "inverse" is not necessarily the identity mapping. **Thus one may describe the functors as being "inverse up to isomorphism"**.

# Algebra/Coalgebra Duality in Category Theory III

- If a category is equivalent to the **opposite (or dual)** of another category then one speaks of a **duality of categories**, and says that the two categories are **dually equivalent**.
- **Duality** is a correspondence between properties of a category  $C$  and so-called **dual properties** of the **opposite category**  $C^{\text{op}}$ . Given a statement regarding the category  $C$ , by interchanging the **source** and **target** of each morphism as well as interchanging the order of **composing** two morphisms, a corresponding dual statement is obtained regarding the opposite category  $C^{\text{op}}$ .
- **Doing the reversal twice yields the original category**, so the opposite of an opposite category is the original category itself. In symbols,  $(C^{\text{op}})^{\text{op}} = C$ . When a category is equivalent with its own opposite it is **self-dual**. This is the case of the Hopf algebras, without deformations.
- **Duality**, as such, is the assertion **that truth is invariant under this operation on statements**. In other words, if a statement is true about  $C$ , then its dual statement is true about  $C^{\text{op}}$ . Also, if a statement is false about  $C$ , then its dual has to be false about  $C^{\text{op}}$ .

# Algebra/Coalgebra Duality in Category Theory IV

- **For instance:**

- A morphism  $f : A \rightarrow B$  is a **monomorphism** if  $(f \circ g = f \circ h) \rightarrow (g = h)$  [left-cancelling morphism]. Performing the dual operation, we get the statement  $(g \circ f = h \circ f) \rightarrow (g = h)$  For a morphism  $f : B \rightarrow A$ , this is precisely what it means for  $f$  to be an **epimorphism**. In short, the property of being a **monomorphism** is dual to the property of being an **epimorphism**.
- Applying duality, this means that a morphism in some category  $C$  is a monomorphism **if and only if** the reverse morphism in the opposite category  $C^{\text{op}}$  is an epimorphism.
- An example comes from reversing the direction of inequalities in a **partial order**. So if  $X$  is a set and  $\leq$  a partial order relation, we can define a new partial order relation  $\leq_{\text{new}}$  by  $x \leq_{\text{new}} y$  if and only if  $y \leq x$ .
- E.g., In  $C$  the partial ordering **ancestors/descendants** among some elements of a set  $X$  holds **iff** in  $C^{\text{op}}$  the opposite partial ordering **descendants/ancestors** holds too.
- Also from this simple notions, it is evident that **the algebraic formalism of QFT**, in the dissipative case, **requires for being true the duality algebra/coalgebra**.

# Algebra/Coalgebra Duality in Category Theory IV

- Finally it is evident that **the elementary language of category theory** is a two-sorted **first order language**, with **objects** and **morphisms** as distinct sorts, together with the relations of an object being the source or target of a morphism, and a symbol for composing two morphisms.
- For this reason we have to distinguish the **coalgebra** notion in **abstract mathematics**, from the **F-coalgebras** we are here referring to.
- **In abstract mathematics**, coalgebras are **dual** to **unital associative algebras** (e.g., unital rings) in the sense that the **axioms** of unital associative algebras can be formulated in terms of **commutative diagrams**. Turning all arrows around, one obtains the axioms of coalgebras. Of course, for non-unital algebras the dual is not a coalgebra. Neither a first order language in CT is able to deal with these **axiom reversals** characterizing such objects
- **In TCS and in theory of the dynamical systems (TDS)** we use **functor coalgebras (F-coalgebras)**, suitable for **formally justifying**, in **TDS** a thermal field interpretation of QFT, **able to satisfy Haag's theorem**; in **TCS** a coalgebraic **semantics** of Boolean algebras (equation logic), also in terms of automata's reactive behavior (state transition systems, **STS**). Finally, in **FP** a complete modal relational semantics, able to justify **the ontological bi-conditional**. A fundamental step for restituting metaphysics **a scientific dignity in a post-modern age!**
- In all these cases the categories **F-algebras/F-coalgebras**, with their endofunctors  $\Omega^*/\Omega$  are **dually equivalent**, i.e.,  $\mathbf{Alg}(\Omega^*) \rightleftarrows \mathbf{Coalg}(\Omega)$  (Venema 2007, 416).

# Bogoliubov transform and the dissipative QFT

- We offer in Basti2 a **coalgebraic interpretation of modal logic**, where, as far as defined on Aczel's **non-well founded sets**, it is able to justify the existence of **homomorphisms between coalgebras** in terms of **bisimilarity and/or of observational equivalence**, and a **coalgebraic theory of proof** based on the powerful notion of **coinduction** as dual to algebraic induction.
- As to the present aim of justifying a **dissipative QFT** as the **proper QFT** because satisfying Haag's theorem, we have to introduce the key notion of Bogoliubov transform (BT), that offers an essential contribution to the study of **the commutation/anticommutation relations for bosonic/fermionic creation and annihilation operators** in a QV with a **temperature  $>0$** .
- Such a transform, since it was introduced by the Russian mathematician **Nikolay Bogoliubov** (1929-1992) in 60's, is **a fundamental ingredient of QFT** in condensed matter physics, with applications in **superconductivity** and **ferromagnetism**, that is for studying **system able to pass through several stable phases**, as far as these passages are strictly related with the **thermal parameter,  $\theta$** .
- More recently such a transform had an essential role in demonstrating the **existence of Hawking radiation for the black-holes**, and hence with the emergence of the **Berkenstein-Hawking entropy** we already introduced, as an essential ingredient of present cosmology. What is more important for us is that, after the action of Bogoliubov operators of creation/annihilation, **the QV ground state  $|0\rangle$**  is modified by the determination in it of **squeezed coherent states** correspondent to as many **phase coherence phenomena** in it.

# q-deformed Hopf algebras in a thermal QV condition

- As Vitiello emphasizes, all this explains why the squeezed coherent states intrinsically related to the **q-deformed Hopf algebras** were irrelevant, when introduced in the context of the **closed quantum systems** of QM, or of the algebraic interpretation of QFT studied in the precedent module.
- Without a thermal vacuum, the Stone-Von Neumann theorem holds, and the infinitely many inequivalent representations of the CCR cannot occur, despite they are previewed by the Haag theorem, are related with the **spontaneous symmetry breakdowns (SSB)** of the QV, and were firstly revealed and defined rigorously by the BT creation/annihilation operators,.
- All this means that the **q-parameter** of the Hopf algebra deformation must be identified with the **thermal parameter  $\theta$  of the BT**, so to allow the interpretation of the **duality q-deformed Hopf algebras/coalgebras**, with the intrinsic **reversal of the arrows and of the composition** just introduced, in terms of a **thermodynamic energy balance**, giving coalgebras formalism an essential role, not only in TDS, but in **fundamental physics**.